

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| Applicant: | J. Thomas Fowler, et al. | Examiner: | Aaron C. Piggush |
| Serial No.: | 10/717,207 | Group Art Unit: | 2838 |
| Filed: | November 19, 2003 | Docket: | TI01,702US |
| Title: | BIDIRECTIONAL POWER CONVERTER FOR BALANCING STATE OF CHARGE AMONG SERIES CONNECTED ELECTRICAL ENERGY STORAGE UNITS | | |

INFORMATION DISCLOSURE STATEMENT

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the referenced materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement.

Representatives of assignee TIAX LLC
Finch & Nguyen PLLC

Date December 22, 2006

By



Stephen R. Finch
Reg. No. 42,534

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| Substitute for form 1449A/PTQ INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | | Complete if Known Application Number 10/717,207 Filing Date November 19, 2003 First Named Inventor J. Thomas Fowler Art Unit 2838 Examiner Name Aaron C. Piggush | |
| Sheet | 1 | of | 1 | Attorney Docket No: T101.702US | |

| US PATENT DOCUMENTS | | | | | |
|---------------------|---------|---------------------|------------------|---|----------------------------|
| Examiner Initial * | Cite No | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Filing Date If Appropriate |
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| FOREIGN PATENT DOCUMENTS | | | | |
|--------------------------|---------------------|------------------|---|----|
| Examiner Initial* | Foreign Document No | Publication Date | Name of Patentee or Applicant of cited Document | T* |
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| OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS | | | |
|--|---------|--|----|
| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issues number(s), publisher, city and/or country where published. | T* |
| | 1 | Pop, V., et al., "State-of-the-art of battery of state-of-charge determination, Published October 31, 2005; <i>Meas. Sci. Technol.</i> 16(2005) R93-R110 | |

EXAMINER

DATE CONSIDERED